

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
09469.013001

In Re Application Of: **Roberto J. PIMENTEL et al.**

#4

10/16/01  
09/28/01  
U.S. PTO  
Jc978

Serial No.

Filing Date  
October 16, 2001

Examiner

Group Art Unit

Title: **METHOD AND APPARATUS FOR SECURELY TRANSFERRING WIRELESS DATA**

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).



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PATENT TRADEMARK OFFICE

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0591** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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Signature of Person Mailing Correspondence

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\*This certificate may only be used if paying by deposit account.

*Richard A. Fagin*  
Signature

Dated: **October 16, 2001**

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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

09469.013001

Application Number

Applicant(s)

Roberto J. PIMENTEL et al.

Filing Date

October 16, 2001

Group Art Unit

DC978 U.S. PTO  
09/98/470



\*EXAMINER  
INITIAL

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

A1

WAP TLS Profile and Tunneling WAP-219-TLS, "Wireless Application Protocol TLS Profile and Tunneling Specification", dated April 11, 2001, 15 pages

A2

W@P, WML Script Crypto Library Version 20, "Wireless Application Protocol WAP-161-WMLScriptoCrypto-20010620-a, dated June 20, 2001, 27 pages

A3

W@P WAP Push Architectural Overview Version 03, "Wireless Application Protocol WAP-250-PushArchOverview-20010703-a, dated July 3, 2001, 24 pages

A4

Research in Motion Limited, "Technical White Paper Blackberry Enterprise Edition for Microsoft Exchange, version 2.1, RIM Wireless Handhelds, dated 2001, 20 pages

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.